Effect of anemia and comorbidity on functional status and mortality in old age: results from the Leiden 85-plus Study

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ABSTRACT

Background: There is limited insight into the attributable effect of anemia and comorbidity on functional status and mortality in old age.

Methods: The Leiden 85-plus Study is a population-based prospective follow-up study of 562 people aged 85 years. Anemia was defined according to World Health Organization criteria. We measured 3 parameters of functional status at baseline and annually thereafter for 5 years: disability in basic and instrumental activities of daily living, cognitive function and the presence of depressive symptoms. We obtained mortality data from the municipal registry.

Results: The prevalence of anemia at baseline was 26.7% (150/562). Participants who had anemia at baseline had more disability in activities of daily living, worse cognitive function and more depressive symptoms than participants without anemia at baseline (p + 0.01). These differences disappeared after adjustment for comorbidity. After adjustment for comorbidity in the prospective analyses, anemia at baseline was associated with an additional increase in disability in instrumental activities of daily living during follow-up; incident anemia during follow-up (n = 99) was associated with an additional increase in disability in basic activities of daily living. Prevalent and incident anemia were both associated with an increased risk of death, even after we adjusted for sex, education level, income, residence in a long-term care facility, C-reactive protein level, creatinine clearance and the presence of disease (hazard ratio for prevalent anemia 1.41, 95% confidence interval [CI] 1.13 to 1.76; hazard ratio for incident anemia 2.08, 95% CI 1.60 to 2.70).

Interpretation: Anemia in very elderly people appears to be associated with an increased risk of death, independent of comorbidity. However, the associated functional decline appears to be attributed mainly to comorbidity.

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In the past decade, anemia has been associated with a number of negative outcomes in elderly people. Not only is its presence a strong predictor of death, 1-5 it has also been associated with various conditions such as decreased physical performance, disability in daily living, mobility disabilities, cognitive impairment, depression, falls and fractures, frailty, admission to hospital and diminished quality of life. 6-8 Moreover, findings from studies involving patients with chronic heart failure have suggested that the development of incident or new-onset anemia has an even stronger effect on mortality than prevalent anemia. 9-11 However, the attributable effect of incident anemia on mortality and functional status of elderly people in the general population has yet to be established.

Chronic conditions such as certain infections, inflammatory diseases, kidney disease and cancer are important causes of anemia via immune-driven processes. R12-14 These chronic conditions are also associated with decreased functional status and mortality. However, it remains unclear whether anemia is an independent cause of functional decline and increased mortality, or whether the strong association between anemia and these 2 states can be explained by comorbidity. R12-14 We conducted this study to examine the association between prevalent and incident anemia with functional status and mortality in a very elderly population. We also investigated the role of comorbidity in these associations. Data pertinent to our study were extracted from the wider Leiden 85-plus Study.

Methods

Study population and procedures

The present study was embedded in the Leiden 85-plus Study, a population-based prospective follow-up study of 85-year-old inhabitants of Leiden, the Netherlands. Leiden has a population of about 118 000 inhabitants. In the Netherlands, all people living in the community are registered at a local gen-

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eral practice and local pharmacy. Residents in nursing homes are cared for by a nursing home physician.

The study population has been described previously in more detail.¹⁵ In short, between September 1997 and September 1999, all inhabitants of Leiden of the 1912–1914 birth cohort were eligible for study participation. No one was excluded on the basis of health, cognitive functioning or living situation. Participants were visited annually (at age 85 through 90 years) at their place of residence to gather information in face-to-face interviews and to obtain venous blood samples. All participants gave their informed consent to take part in the study. For those who had severe cognitive impairment, informed consent was obtained from a proxy. The Medical Ethical Committee of the Leiden University Medical Center approved the study.

Main study parameters

Anemia

Blood samples were drawn before 11 am. Hemoglobin levels and mean corpuscular volume were determined on the day the sample was drawn with the use of an automated analysis system (Coulter Counter, Coulter Electronics, Hialeah, USA). Anemia was defined according to the criteria of the World Health Organization (hemoglobin level < 120 g/L for women and < 130 g/L for men). Prevalent anemia was defined as the presence of anemia at age 85 years (at baseline). Prevalent anemia was further divided into persistent anemia (the presence of anemia at age 85 and 86 years) and resolved anemia (anemia at age 85, no anemia at age 86 years). Incident anemia was defined as newly identified anemia after age 85 years in those without anemia at baseline.

Functional status

Disability in daily living was measured with the Groningen Activity Restriction Scale, which assesses an individual's competence in 18 activities of daily living.¹⁷ Questions are phrased: "Can you, fully independently, ...?" Answers range from "fully independently, without any difficulty" (1 point) to "not fully independently, only with someone's help" (4 points). Separate scores were calculated for 9 basic activities of daily living (total score 9-36) and 9 instrumental activities of daily living (total score 9-36).18 Basic activities of daily living included the following tasks: dressing oneself, washing hands and face, washing whole body, getting around the house, getting into and out of bed, standing up from a chair, going to the toilet, drinking and feeding oneself, and preparing breakfast. Instrumental activities included doing light housework, doing heavy household cleaning, washing and ironing clothes, making the bed, preparing a hot meal, going up and down stairs, getting around outdoors, doing the shopping, and attending to feet and toenails. 18 For participants who had severe cognitive impairment, information was obtained from a proxy.

Cognitive function was measured with the Mini-Mental State Examination;¹⁹ scores range from 0 to 30, with lower scores indicating impaired cognitive functioning. Depressive symptoms were assessed with the 15-item Geriatric Depression Scale, a tool developed specifically to screen for depression Scale.

sive symptoms in elderly people;²⁰ scores range from 0 (optimal) to 15. The Geriatric Depression Scale was administered only to participants who had a Mini-Mental State Examination score greater than 18 points.

Mortality

We obtained mortality data from the municipal registry recorded between the start of the study and Feb. 1, 2008.

Comorbidity

We used 2 markers for comorbidity, a potential confounding variable in the relation between anemia, functional status and mortality. These markers were known (diagnosed) disease and unknown (undiagnosed) disease.

We obtained information on the presence of known disease at baseline from the participants' general practitioners, nursing home physicians, pharmacy records and laboratory findings. There was no measure of severity. Known diseases included stroke, myocardial infarction, severe cognitive impairment, diabetes mellitus, Parkinson disease, hip fracture, arthritis, obstructive lung disease and malignant disease. We considered severe cognitive impairment to be present on the basis of a diagnosis by the general practitioner or a Mini-Mental State Examination score of less than 19. We considered diabetes mellitus to be present if diagnosed by the primary care physician, if the nonfasting glucose level was greater than 11.0 mmol/L, or if a participant was taking anti-diabetic medication.

For unknown (undiagnosed) disease, we measured C-reactive protein levels using a Hitachi 747 automated analyzer (Hitachi, Tokyo, Japan). We determined creatinine clearance using the Cockcroft-Gault equation.²¹

Other parameters

We obtained information on other possible confounding variables, including sex, level of education, income and residence in a long-term care facility. In addition, we interviewed participants about current and former smoking habits. We determined serum levels of vitamin B_{12} and folate in one batch using the Dual Count Solid Phase No Boil Assay (Diagnostic Products Corporation, Los Angeles, USA). We determined ferritin levels using an immunologic assay (E170, Roche, Almere, the Netherlands).

Statistical analysis

Cross-sectional analyses

We compared differences between participants with and without anemia at baseline using the Mann–Whitney U test (for continuous variables) or the $?^2$ test (for categorical variables).

We analyzed the relation between prevalent anemia and functional status at baseline and the possible confounding roles of socio-demographic characteristics and comorbidity using linear regression models. For each domain of functional status, we constructed 4 subsequent linear regression models, each model being an extension of the previous one. In the first model, we estimated crude differences in disability in basic activities of daily living, disability in instrumental activities of

daily living, Mini-Mental State Examination scores and Geriatric Depression Scale scores between participants with and without anemia at baseline. The second model was an extension of the first whereby we adjusted for socio-demographic characteristics (sex, level of education, income and residence in long-term care facility). In the third model, we included additional adjustments for the presence of known disease. In the fourth model, we added adjustments for C-reactive protein levels and creatinine clearance.

Prospective analyses

We used Cox proportional hazard models to investigate the association between prevalent anemia at baseline and mortality. To investigate the effect of prevalent anemia at baseline on changes in functional status during the follow-up period, we calculated individual annual changes in measures of functional status as the difference between the test score at baseline and the test score at the last available year of follow-up, divided by the length of follow-up for each participant (1–5 years). We investigated differences in annual changes between participants with and without anemia at baseline using linear regression analysis, with adjustment for possible confounding variables (sex, level of education, income, residence in long-term care facility, and the presence of known and unknown disease).

Among participants without anemia at baseline, we investigated the effect of incident anemia after the age of 85 years on mortality using time-dependent Cox proportional hazard models. To investigate the effect of incident anemia on functional decline during the follow-up period, we calculated the difference between the test scores at the point when anemia was first identified and the test scores at the last available year of follow-up, divided by the length of follow-up since the anemia was first identified (1–4 years). In this analysis, participants who did not have anemia during the follow-up period served as the reference group.

In addition, we used Cox models to compare the risk of death from age 86 onward between participants with persistent anemia and those whose anemia resolved.

Results

Study population

Between September 1997 and September 1999, 705 inhabitants of Leiden reached the age of 85 years and were eligible for participation in the study. Fourteen people died before enrolment in the study, and 92 refused to participate. Of those enrolled in the study, 7 died before blood samples could be collected, and 30 were unwilling to provide a blood sample. As a result, blood samples from 562 subjects were available for analysis at baseline (80% of those eligible). Figure 1 shows the number of participants at the outset of the study and annually over the 5-year follow-up period.

Cross-sectional analyses

The prevalence of anemia among the participants at age 85 years (i.e., at baseline) was 26.7% (150/562). Compared with the participants who did not have anemia (n = 412), those

with anemia were more likely to be men, to be residing in a long-term care facility and to be former or current smokers (Table 1). In addition, participants with anemia presented with more known diseases, higher C-reactive protein levels and lower creatinine clearances than those without anemia.

Table 2 shows the cross-sectional differences in measures of functional status between participants with and without anemia. In the crude analyses (model 1), those with anemia had greater disability in basic and instrumental activities of daily living, lower Mini-Mental State Examination scores and higher Geriatric Depression Scale scores than their counterparts without anemia. Adjustments for socio-demographic characteristics

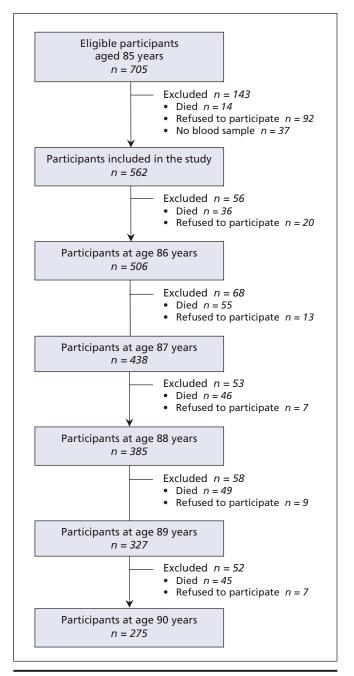


Figure 1: Number of participants at the outset of the study and annually thereafter for 5 years.

Characteristic	Total population $n = 562$	Anemia* at baseline $n = 150$	No anemia at baseline $n = 412$	p value
Demographic characteristic				<u>, </u>
Sex, male, no. (%)	189 (33.6)	67 (44.7)	122 (29.6)	< 0.01
Low education level — primary school only, no. (%)	363 (64.9)	105 (70.5)	258 (62.9)	0.10
Low income level — < €750 per month, no. (%)	284 (51.3)	80 (54.8)	204 (50.0)	0.32
Residence in long-term care facility, no. (%)	102 (18.1)	39 (26.0)	63 (15.3)	< 0.01
Former or current smoker, no. (%)	272 (49.2)	87 (59.2)	185 (45.6)	< 0.01
Functional status				
Disability in basic activities of daily living, Groningen Activity Restriction Scale score, median (IQR)	10 (9–15)	10 (9–17)	10 (9–13)	0.01
Disability in instrumental activities of daily living, Groningen Activity Restriction Scale score, median (IQR)	18 (12–26)	22 (13–31)	17 (12–25)	< 0.01
Mini-Mental State Examination score, median (IQR)	26 (22–28)	25 (20–28)	26 (23–28)	< 0.01
Geriatric Depression Scale-15 score, median (IQR)	2 (1–3)	2 (1–4)	1 (0–3)	0.01
Comorbidity				
No. of known diseases,† no. (%)				
0	136 (24.5)	29 (19.5)	107 (26.3)	
1	226 (40.6)	52 (34.9)	174 (42.8)	< 0.01
≥ 2	194 (34.9)	68 (45.6)	126 (31.0)	
C-reactive protein level, mg/L, median (IQR)	4 (1–8)	5 (2–12)	3 (1–6)	< 0.01
Creatinine clearance, mL/min,‡ median (IQR)	44.6 (37.2–52.2)	40.4 (34.1–48.3)	45.8 (39.8–53.4)	< 0.01
Vitamin B ₁₂ level, pmol/L, median (IQR)	274 (188–379)	269 (171–397)	274 (192–378)	0.96
Folate level, nmol/L, median (IQR)	12.3 (9.4–17.1)	12.7 (9.1–16.9)	12.2 (9.5–17.3)	0.63
Ferritin level, μg/L, median (IQR)	74 (37–123)	62 (24–126)	77 (41–122)	0.03
Mean corpuscular volume, fL, median (IQR)	91 (88–94)	91 (88–94)	92 (88–95)	0.05

Note: IQR = interquartile range.

Table 2: Cross-sectional differences in measures of functional status between participants with and without anemia at baseline, before and after adjustment for possible confounders

	Model 2 Adjustment for Model 1 socio-demographic No adjustment* characteristics†		phic	Model 3 Adjustment in model 2 plus presence of known disease‡		Model 4 Adjustment in model 3 plus other parameters of unknown disease§		
Measure of functional status	Difference (95% CI)	p value	Difference (95% CI)	p value	Difference (95% CI)	p value	Difference (95% CI)	p value
Disability in basic activities of daily living	2.0 (0.9 to 3.2)	< 0.01	1.3 (0.3 to 2.3)	0.01	1.1 (0.1 to 2.1)	0.04	0.5 (-0.5 to 1.4)	0.32
Disability in instrumental activities of daily living	2.9 (1.3 to 4.5)	< 0.01	1.7 (0.3 to 3.2)	0.02	1.2 (-0.1 to 2.6)	0.08	0.8 (-0.7 to 2.2)	0.30
Mini-Mental State Examination	-1.8 (-3.0 to -0.7)	< 0.01	-1.1 (-2.1 to -0.1)	0.03	-0.9 (-1.9 to 0.1)	0.08	-0.4 (-1.4 to 0.6)	0.43
Geriatric Depression Scale-15	0.5 (0.0 to 1.0)	0.06	0.3 (-0.2 to 0.9)	0.19	0.3 (-0.2 to 0.9)	0.21	0.3 (-0.2 to 0.9)	0.20

^{*}Anemia was defined according to the criteria of the World Health Organization: hemoglobin level < 120 g/L for women and < 130 g/L for men. 16

tlncludes stroke, myocardial infarction, severe cognitive impairment, diabetes mellitus, Parkinson disease, hip fracture, arthritis, obstructive lung disease and malignant disease. ‡Cockcroft–Gault formula.

[†]Adjusted for socio-demographic characteristics (sex, level of education, income and residence in long-term care facility) at baseline.

[‡]Additional adjustments for the presence of known disease (including stroke, myocardial infarction, severe cognitive impairment, diabetes mellitus, Parkinson disease, hip fracture, arthritis, obstructive lung disease and malignant disease) at baseline.

§Additional adjustments for other parameters of unknown disease (C-reactive protein level and creatinine clearance) at baseline.

(model 2) reduced the differences between the 2 groups; however, the differences in disability in basic and instrumental activities of daily living and in Mini-Mental State Examination scores remained statistically significant. Additional adjustment for known disease (model 3) further reduced the differences between the 2 groups. After including C-reactive protein levels and creatinine clearance (model 4), no differences between participants with and without anemia remained.

Prospective analyses

The participants who had anemia at baseline were at greater risk of death than those without anemia at baseline (hazard ratio [HR] 1.71, 95% confidence interval [CI] 1.40 to 2.10). This difference remained after adjustment for various confounding factors, including comorbidity (HR 1.41, 95% CI 1.13 to 1.76) (Table 3). Exclusion of 96 participants who were using vitamin B₁₂, folic acid, iron or erythropoietin supplements at baseline or during follow-up did not change the results (data not shown). We found that the risk of death associated with prevalent anemia was similar among men and women, and among participants in long-term care facilities and those living independently in the community. A doseresponse relation between severity of anemia and mortality was observed when participants with prevalent anemia were divided into groups with mild (n = 98), moderate (n = 30) and severe anemia (n = 22) (Table 4, p for trend < 0.01).

Participants with and without anemia at baseline did not show differences in functional decline during follow-up in the crude analyses (difference in annual change: Groningen Activity Restriction Scale score for disability in basic activities of daily living 0.5, 95% CI -0.1 to 1.0, p = 0.08; Groningen Activity Restriction Scale score for disability in instrumental activities of daily living 0.5, 95% CI -0.1 to 1.1, p = 0.10; Mini-Mental State Examination score -0.3, 95% CI -0.7 to 0.1, p = 0.13; and Geriatric Depression Scale score 0, 95% CI -0.3 to 0.3, p = 0.84). In the adjusted analyses, anemia was associated with a significant additional increase in disability in instrumental activities of daily living (adjusted difference in annual change: Groningen Activity Restriction Scale score for disability in basic activities of daily living 0.5, 95% CI -0.1 to 1.1, p = 0.09; Groningen Activity Restriction Scale score for disability in instrumental activities of daily living 0.7, 95% CI 0.1 to 1.4, p = 0.03; Mini-Mental State Examination score

 Table 3: Effect of anemia on mortality

 Hazard ratio (95% CI)

 Anemia
 Crude
 Adjusted*

 Prevalent anemia (n = 150/562)
 1.71 (1.40 to 2.10)
 1.41 (1.13 to 1.76)

 Incident anemia (n = 99/412)
 2.16 (1.68 to 2.77)
 2.08 (1.60 to 2.70)

Note: CI = confidence interval.

*Adjusted for sex, level of education, income, residence in long-term care facility, presence of 1 or more known diseases (including stroke, myocardial infarction, severe cognitive impairment, diabetes mellitus, Parkinson disease, hip fracture, arthritis, obstructive lung disease and malignant disease), C-reactive protein level and creatinine clearance at baseline.

-0.3, 95% CI -0.7 to 0.2, p = 0.24; and Geriatric Depression Scale score -0.1, 95% CI -0.4 to 0.2, p = 0.59).

Incident anemia developed during the follow-up period in 99 (24.0%) of the 412 participants without anemia at baseline (1329 person-years at risk, incidence 7.4% per year). These 99 participants had a 2-fold increased risk of death compared with participants in whom anemia did not develop during follow-up (crude HR 2.16, 95% CI 1.68 to 2.77; adjusted HR 2.08, 95% CI 1.60 to 2.70) (Table 3).

Participants with incident anemia had a greater increase in disability in basic activities in daily living compared with participants who did not have anemia during follow-up (difference in annual change in Groningen Activity Restriction Scale score 1.7, 95% CI 0.8 to 2.7, p < 0.01). Incident anemia was not associated with changes in the other parameters of functional status (difference in annual change in Groningen Activity Restriction Scale score for instrumental activities of daily living -0.4, 95% CI -1.2 to 0.3, p = 0.28; Mini-Mental State Examination score -0.5, 95% CI -1.1 to 0.1, p = 0.10; and Geriatric Depression Scale score 0.1, 95% CI -0.4 to 0.6, p = 0.60). When we adjusted for sex, level of education, income, residence in a long-term care facility, the presence of known disease, C-reactive protein levels, and creatinine clearance, we found that incident anemia was still associated with an additional increase in disability in basic activities of daily living (adjusted difference in annual change in Groningen Activity Restriction Scale score for basic activities of daily living 1.4, 95% CI 0.4 to 2.5; p < 0.01).

The risk of death did not differ significantly between the 43 participants with anemia at baseline that resolved during follow-up and the 78 participants whose anemia persisted (crude HR 1.06, 95% CI 0.71 to 1.59; adjusted HR 1.27, 95% CI 0.82 to 1.98).

Interpretation

In our population-based study of very elderly people, we found that both prevalent anemia in individuals at the age of 85 years

Table 4: Effect of anemia at baseline on mortality, by severity of anemia

	Hazard ratio (95% CI)				
Severity of anemia*	Crude	Adjusted†			
No anemia (<i>n</i> = 412)	1.00 (ref)	1.00 (ref)			
Mild ($n = 98$)	1.37 (1.08-1.75)	1.27 (0.99–1.64)			
Moderate ($n = 30$)	2.46 (1.68-3.60)	1.50 (0.98–2.29)			
Severe (<i>n</i> = 22)	4.13 (2.64-6.45)	2.93 (1.72–4.98)			
p value for trend	< 0.01	< 0.01			

Note: CI = confidence interval.

*Mild anemia: hemoglobin 110–119 g/L for women and 120–129 g/L for men; moderate anemia: hemoglobin 100–109 g/L for women and 110–119 g/L for men; severe anemia: hemoglobin < 100 g/L for women and < 110 g/L for men. †Adjusted for sex, level of education, income, residence in a long-term care facility, presence of ≥ 1 known diseases (including stroke, myocardial infarction, severe cognitive impairment, diabetes, Parkinson disease, hip fracture, arthritis, obstructive lung disease and malignant disease), C-reactive protein levels and creatinine clearance at baseline.

and incident anemia in the years thereafter was associated with an increased risk of death, independent of the presence of comorbid disease. We found associations between anemia and functional status, most of which disappeared after adjustments for comorbid conditions, including unknown disease.

Because chronic disease is often accompanied by anemia, it is a classic confounder in analyses of the association between anemia, functional decline and mortality.²² Therefore, we chose rigorous statistical methods to adjust for the presence of disease, both known and yet unknown to the general practitioner. The strong link between anemia and functional status disappeared after we adjusted for the presence of disease.

These results do not necessarily imply that anemia has no independent effect on functional decline. In our study, chronic disease can be regarded as either a confounder or as an important first step in the causal pathway from chronic disease to functional decline, with anemia as an intermediate step.²² In the latter case, we adjusted for a factor in the causal pathway that may have led to an underestimation of the true association between anemia and functional status. As a result, as in other observational studies, we do not know which model — the crude model or the fully adjusted model — was the best reflection of the true effect of anemia on functional decline. This may well explain the inconsistent results in other population-based studies of anemia and disability, 23-27 anemia and cognitive impairment or dementia, 3,23,28,29 and anemia and depression^{23,24,30} in elderly individuals. These studies all used different levels of control for the confounding effects of comorbidity. Only a randomized controlled trial, in which the role of chronic disease can be eliminated, would show whether correction of hemoglobin levels (e.g., with blood transfusions or erythropoietin) in older people in the general population improves functional status and increases the length and quality of life.

We found a strong, independent association between prevalent anemia in participants at age 85 years and risk of death. This finding confirms the results of previous studies involving elderly people living in the community in the Netherlands,¹ the United States²⁻⁴ and Canada.⁵ We found that incident anemia in participants beyond the age of 85 years had an even stronger impact on mortality than prevalent anemia at age 85. This finding concurs with results from studies involving patients with chronic heart failure.⁹⁻¹¹

Our study has several strengths. Its prospective design allowed us to look into the effect of incident anemia. In addition, the population-based setting and almost complete followup of our participants permitted us to generalize our conclusions to very elderly people in the general population at large.

Our study considered people aged 85 years. It is important to study the impact of anemia in old age specifically, because the very elderly are the fastest growing segment of the general population and the prevalence of anemia increases with age.³¹ Therefore, anemia in old age can have a significant effect on health care needs and costs in our aging society.³² However, our results should not be extrapolated to younger populations, because the risk of common determinants of disease and mortality vary largely in different age groups. Negative effects of hypothyroidism, hypertension and hypercholes-

terolemia have already been shown to reverse or disappear in the oldest old.^{33–35} Nevertheless, because anemia was associated with an increased risk of death in our study population of 85-year-old people, older (‡ 85 years) and younger populations may not differ substantially with respect to the impact of anemia on mortality.

Conclusion

Although future randomized controlled trials are needed to look into the true and independent effect of the treatment of anemia on mortality and functional decline in the elderly population, the results of our study suggest that anemia in very elderly people is associated with an increased risk of death, independent of comorbidity. The associated functional decline appears to be mainly attributed to comorbid illnesses.

This article has been peer reviewed.

Competing interests: None declared.

Contributors: Rudi Westendorp and Jacobijn Gussekloo were responsible for the study concept and design; Rudi Westendorp, Anton de Craen and Jacobijn Gussekloo acquired the data; Wendy den Elzen and Jacobijn Gussekloo drafted the manuscript; Wendy den Elzen, Rudi Westendorp, Anton de Craen and Jacobijn Gussekloo performed the statistical analysis; Rudi Westendorp, Anton de Craen, Willem Assendelft and Jacobijn Gussekloo supervised the study; Wendy den Elzen, Anton de Craen and Jacobijn Gussekloo provided administrative, technical and material support; Rudi Westendorp and Jacobijn Gussekloo obtained funding. All of the authors analyzed and interpreted the data, critically revised the manuscript for important intellectual content and approved the final version submitted for publication.

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